



BESPOKE
INVESTMENTS LIMITED

INTERMEDIARY APPLICATION FORM

APPLICANT DETAILS

Legal Name	<input type="text"/>		
Address	<input type="text"/>		
	<input type="text"/>		
Telephone	<input type="text"/>	Fax	<input type="text"/>
Email	<input type="text"/>	Web Address	<input type="text"/>
Financial Regulator Ref	<input type="text"/>	Date Authorised	<input type="text"/>
Tax Reference No	<input type="text"/>	Type of	<input type="text"/>
Company No	<input type="text"/>	Investment Firm (e.g. AA, MAI)	
		Name of Compliance Officer	<input type="text"/>

Do you have Professional Indemnity Insurance?	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>
If so, does it cover non-insurance investments?	Yes	<input type="checkbox"/>	No	<input type="checkbox"/>

LIST PRINCIPALS / DIRECTORS

Name	Qualifications	Mobile No	Email Address
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Has the applicant or any of its Directors, Principals, Managers, Partners and Sales Advisors:

Had an agency refused or cancelled	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Had a claim against you or them under Professional Indemnity Insurance*	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Been convicted of any offence whether in connection with insurance or not	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Been sanctioned by the Financial Regulator or is any sanction pending*	Yes <input type="checkbox"/>	No <input type="checkbox"/>

If **YES** to any of the above please provide details below

* **NOTE:** If a claim is pending, lodged or you become aware of a claim pending against you under your Professional Indemnity Insurance at any point in the future or if you receive Financial Regulator sanction you must inform us accordingly.

Please provide the following with this application:

- SAMPLE OF YOUR HEADED PAPER
- A COPY OF YOUR STATEMENT OF AUTHORISED STATUS

BANK DETAILS FOR COMMISSION PAYMENTS

Bank Name

Bank Address

Bank Account No

Sort Code - BIC Code IBAN

Payment Method Electronic Transfer Cheque

CONTACT INFORMATION

Contact for Marketing Tel No Email

Contact for Commission Tel No Email

Contact for other

DECLARATION

I/We, confirm that the information provided in this application form is true and correct. I/We agree to be bound by the terms and conditions attached. I/We agree that Bespoke Investments Ltd can seek any reference it deems fit in connection with this proposed agency appointment.

SIGNATURE DATE

PRINT NAME

POSITION HELD DATE

SIGNED ON BEHALF OF DATE

BESPOKE INVESTMENTS LTD

Please see Terms and Conditions of Appointment attached

INTERMEDIARY TERMS AND CONDITIONS OF AGENCY APPOINTMENT

- The services to be provided by you, as an investment product intermediary, to Bespoke Investments Ltd will be discussed between us from time to time and will comprise of the marketing and distribution of investment instruments produced by Bespoke Investments Ltd.
- You will act as an independent intermediary on your own behalf in all dealings with your clients and shall not hold yourself/yourselves as an 'Agent' of Bespoke Investments Ltd.
- It is a condition of your appointment that you will abide by and observe all regulatory and statutory requirements and codes of conduct applicable to the services being and to be provided by you. You will ensure that all money laundering requirements and regulations have been complied with in relation to any investment forwarded to Bespoke Investments Ltd. Likewise, you will adhere to your obligations under the Data Protection Acts, 1998 and 2003.
- We may require information about clients introduced by you in order to comply with the requirements for the prevention of money laundering. It is a term of your appointment that you will supply any information and assistance that Bespoke Investments Ltd may reasonably require in connection with that or any other regulatory requirement or purpose.
- Remuneration in respect of services provided by you to Bespoke Investments Ltd will be calculated in accordance with any such arrangement as may from time to time be agreed between Bespoke Investments Ltd and you.
- You will only give advice on products for which you are authorised by the Financial Regulator.
- No representations about any investment products of Bespoke Investments Ltd may be made in any manner other than those specifically authorized by Bespoke Investments Ltd.
- You do not have authority to receive cash or payment in any form on behalf of Bespoke Investments Ltd.
- Any payments in respect of any investment products of Bespoke Investments Ltd are to be made in the form of cheque or bank draft (from clients or customers of yours) and are to be made payable to the financial institution stipulated in Bespoke Investments Ltd product documentation.
- You will inform Bespoke Investments Ltd if a change takes place in your authorised status.
- Your appointment may be terminated by either of us giving no less than 72 hours notice in writing to the other. This appointment may however be terminated by Bespoke Investments Ltd forthwith by notice in writing to you in the event of your insolvency, the appointment of an examiner over you, a significant change or the removal of your authorised status from the Financial Regulator or any material breach of this agreement.
- On termination of your agency for whatever reason, you will be entitled only to initial commission outstanding to you in respect of business for which all requirements have been submitted to Bespoke Investments Ltd at the time of termination. No renewal/trail commission will be paid following termination of agency.
- You may not transfer or otherwise assign your book of business with Bespoke Investments Ltd to any third party or entity without the express permission of Bespoke Investments Ltd.